SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Add GAUT C C	1 0		2. Issuer Name and Ticker or Trading Symbol <u>FORUM ENERGY TECHNOLOGIES</u> , <u>INC.</u> [FET]	5. Relationship of Reporting Person(s) to la (Check all applicable) X Director 10% O				
(Last)	(First)	(Middle)		X Officer (give title Other (below) below)	specify			
10344 SAM H	()	,	3. Date of Earliest Transaction (Month/Day/Year) 11/10/2020	CEO & COB				
SUITE 300								
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check A Line)	pplicable			
HOUSTON	ТХ	77064		X Form filed by One Reporting Pers	on			
(City)	(State)	(Zip)	—	Form filed by More than One Rep Person	orting			
(City)	(Jiale)	(<u><</u> ip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	, Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	11/10/2020		Р		7,783	Α	\$8.75	231,644 ⁽¹⁾	D	
Common Stock	11/11/2020		Р		2,217	Α	\$8.67 ⁽²⁾	233,861	D	
Common Stock	11/12/2020		Р		10,000	Α	\$8.49 ⁽³⁾	243,861	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cais, warants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. In connection with a 1-for-20 reverse stock split of Forum Energy Technologies, Inc.'s (the "Company") issued and outstanding shares of common stock, par value \$0.01 per share, and corresponding decrease in the Company's authorized shares of common stock (the "Reverse Stock Split"), the Company filed an amendment to its Third Amended and Restated Certificate of Incorporation with the Secretary of State of the State of Delaware (the "Amendment"). The Amendment, effective after market close on November 9, 2020, converted each 20 issued and outstanding shares of common stock into one new share of common stock.

2. The price reported is a weighted average price. These shares were purchased in three transactions at prices ranging between \$8.62 and \$8.69. The reporting person undertakes to provide to any security holder of Forum Energy Technologies, Inc. or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price set forth in this footnote.

3. The price reported is a weighted average price. These shares were purchased in three transactions at prices ranging between \$8.47 and \$8.50. The reporting person undertakes to provide to any security holder of Forum Energy Technologies, Inc. or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price set forth in this footnote.

Remarks:

/s/ C. Christopher Gaut by

John C. Ivascu as Attorney-in- 11/12/2020

<u>Fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.